

#8

| | | |
|--|---|--|
| Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary) | Attorney Docket No.: 080398.P428 | Application Number: 09/930,608 |
| | First Named Inventor: Maritzen et al. | Filing Date: 08/15/2001 |

RECEIVED
 JAN 11 2002
 Technology Center 2100

OIPF JCIC
 JAN 04 2002
 PATENT & TRADEMARK OFFICE

U.S. PATENT DOCUMENTS

| Exam. Initial* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|----------------|-----------------------|----------------------|-----------------------------------|---|--|---|
| | | Number | Kind Code ² (If known) | | | |
| Sch | | 5,926,798 | | Carter | 07/20/1999 | N/A |
| Sch | | 6,085,178 | | Bigus et al. | 07/04/2000 | |
| Sch | | 6,088,731 | | Kerally et al. | 07/11/2000 | |
| Sch | | 5,966,704 | | Furegati et al. | 10/12/1999 | |
| Sch | | 5,809,212 | | Shasha | 09/15/1998 | N/A |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| Exam. Initial* | Cite No. ¹ | Foreign Patent Document | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
|----------------|-----------------------|-------------------------|---------------------|-----------------------------------|---|--|---|----------------|
| | | Office ³ | Number ⁴ | Kind Code ⁵ (If known) | | | | |
| Sch | | | | | PCT Search Report | 11/16/2001 | N/A | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

| | |
|--|-----------------------------------|
| Examiner Signature  | Date Considered 1/28/04 |
|--|-----------------------------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

APPLICANT (S)
JUL 03 2003
PATENT & TRADEMARK

Complete if Known

| | |
|------------------------|---------------------|
| Application Number | 09/930,608 |
| Filing Date | 8/15/01 |
| First Named Inventor: | Michael L. Maritzen |
| Group Art Unit | 2166 |
| Examiner Name | Not Yet Assigned |
| Attorney Docket Number | 80398.P428 |

| | |
|------------------------|------------|
| Attorney Docket Number | 80398.P428 |
|------------------------|------------|

00
02
3

RECEIVED
JUL 09 2003
GROUP 3600

[illegible]

00
02
3

RECEIVED
JUL 09 2003
GROUP 3600

[illegible]

| | | | |
|--------------------|---|-----------------|---------|
| Examiner Signature |  | Date Considered | 1/28/04 |
|--------------------|---|-----------------|---------|

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

Substitut f r F rm 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

| | |
|------------------------|-------------------|
| Application Number | 09/930,608 |
| Filing Date | 8/15/01 |
| First Named Inventor: | Michael L. Maritz |
| Group Art Unit | 2166 |
| Examiner Name | Not Yet Assigned |
| Attorney Docket Number | 80398.P428 |

Sheet 2 of 2

OTHER ARTS - NO PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T ² |
|--------------------|----------------------|--|----------------|
| SO | | Tryllian Mobile Agents: Going Beyond the Web, A Commercial White Paper, Version 1.0- May 18, 2000, p. 1-21. | |
| | | Alan Neibauer, "Running Microsoft Outlook 98", Microsoft Press, p. 44-55 (Copyright 1988). | |
| | | About Gossip, http://www.Tryllian.com including Quickstart Guide, Products and Downloads (6 pgs) (Copyright 1999-2000). | |
| SO | | Alan Freedman, The Computer Desktop Encyclopedia (2 nd Ed.), pp. 16, 86, 1029, The Computer Language Company Inc., Point Pleasant, PA (Copyright 1999). | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

Examiner
Signature

Schober

Date
Considered

1/28/04

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

| | |
|------------------------|------------|
| Application Number | 09/930,608 |
| Filing Date | 8/15/01 |
| First Named Inventor: | Marizten |
| Group Art Unit | 3644 |
| Examiner Name | Holzen, S. |
| Attorney Docket Number | 80398.P428 |

(use as many sheets as necessary)

OCT 31 2003

She t

of

3

U.S. PATENT DOCUMENTS

[illegible]

RECEIVED
NOV 07 2003
GROUP 3600

FOREIGN PATENT DOCUMENTS

[illegible]Examiner
Signature

Date Considered

1/28/02

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

Substitut f r F rm 1449A/PTO (Modified)

Comple if Kn wn

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

OCT 31 2003

Sheet

2

of

3

Application Number

09/930,608

Filing Date

8/15/01

First Named Inventor:

Maritzen

Group Art Unit

3644

Examiner Name

Holzen, S.

Attorney Docket Number

80398.P428

OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner
Initials*Cite
No¹

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

T²

RECEIVED

NOV 07 2003

GROUP 3600

Examiner
Signature

Date

Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.